

DCSA Small Business Program Overview

DEFENSE COUNTERINTELLIGENCE AND SECURITY AGENCY

Steve Mapes,
Director, Office of Small Business Programs





Formation – Integration of Multiple Missions



October 1, 2019 Transfers

- 3 mission transfers from DSS, NBIB and DOD CAF
- 4,532 billets
- ~9,200 contractors
- 167 field offices
- \$1.18B working capital fund

October 1, 2020 Transfers

- 4+ mission and functional area transfers from DISA, DMDC, DIA, and OPM
- 157 billets
- ~500 contractors
- 14 IT systems (5 DMDC, 9 OPM)



Mission Overview

DCSA BY THE NUMBERS



10,000+

Employees & Contractors



167 Field Locations



2.6M

Annual Investigations

Administers the NISP for DoD and



33

Federal Agencies

\$2.461B

DCSA FY21 Budget

WCF - \$1.359B

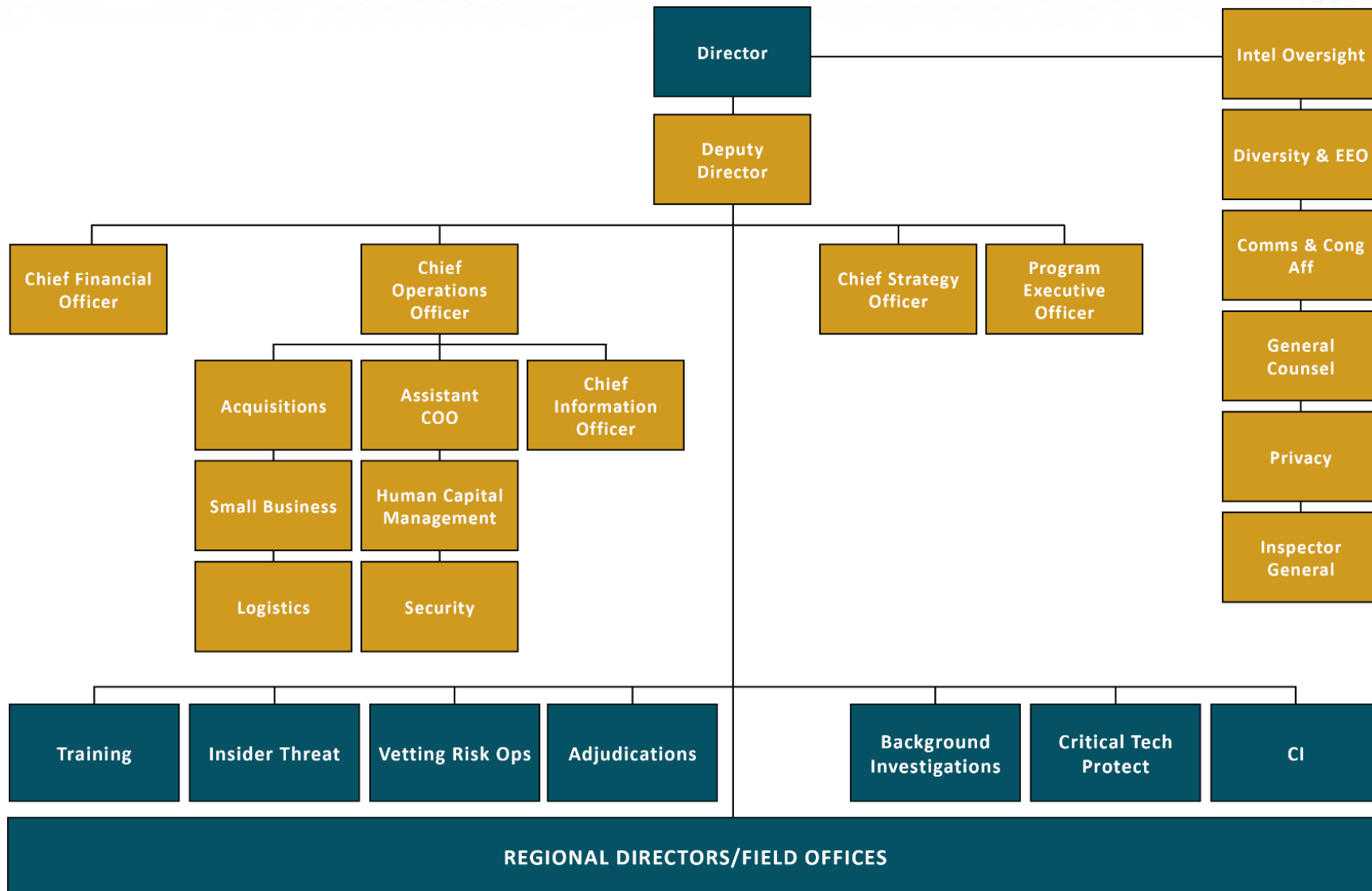
App. - \$1.102B

Through vetting, industry engagement, counterintelligence support and education, DCSA secures the trustworthiness of the U.S. Government's workforce, the integrity of its cleared contractor support and the uncompromised nature of its technologies, services and supply chains

- **Counterintelligence.** *Coordinate CI functional services with U.S. counterpart agencies to identify, assess, and disrupt foreign intelligence threats.*
- **Background Investigations.** *Deliver timely and comprehensive background investigations for over 100 federal departments and agencies.*
- **Continuous Vetting.** *Continuously vet personnel to identify near-term personnel security risks.*
- **Adjudications.** *Render determinations on eligibility to occupy national security sensitive and public trust positions.*
- **Insider Threat.** *Provide an enterprise capability to assess and mitigate risk from insiders, manage unauthorized disclosures and Insider Threat capabilities for the DoD.*
- **Critical Technology Protection.** *Implement multi-discipline risk-based industrial security oversight for ~10,000 cleared companies and 12,500 contractor facilities.*
- **Security Training.** *Provide education, training, and certification of U.S. Government and industry persons with security responsibilities.*
- **National Background Investigation Services.** *Develop and provide enterprise personnel vetting IT data services to the U.S. Government.*



Transitional Organization



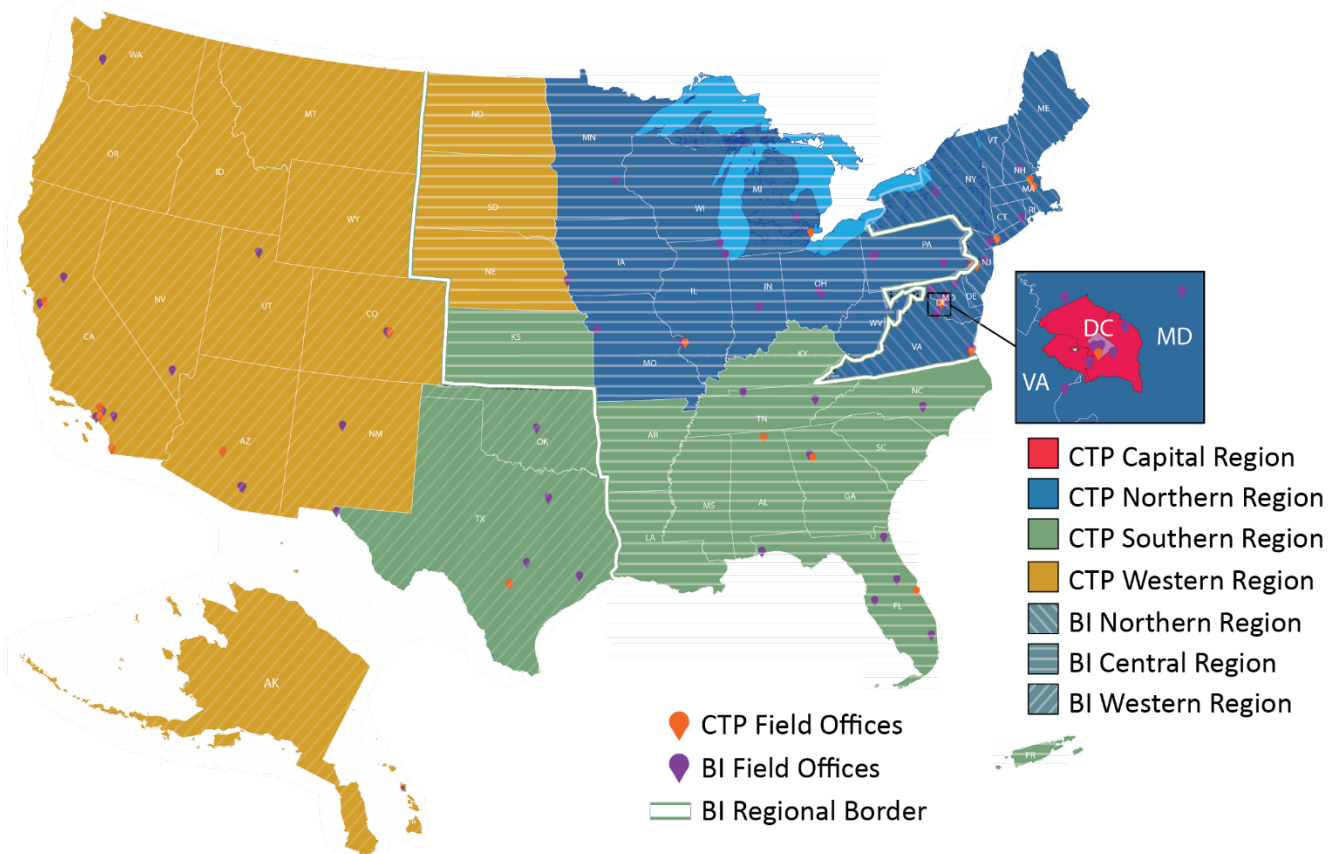


DCSA Field Presence

3-4 Regions

80 Field Offices

72 Resident Offices



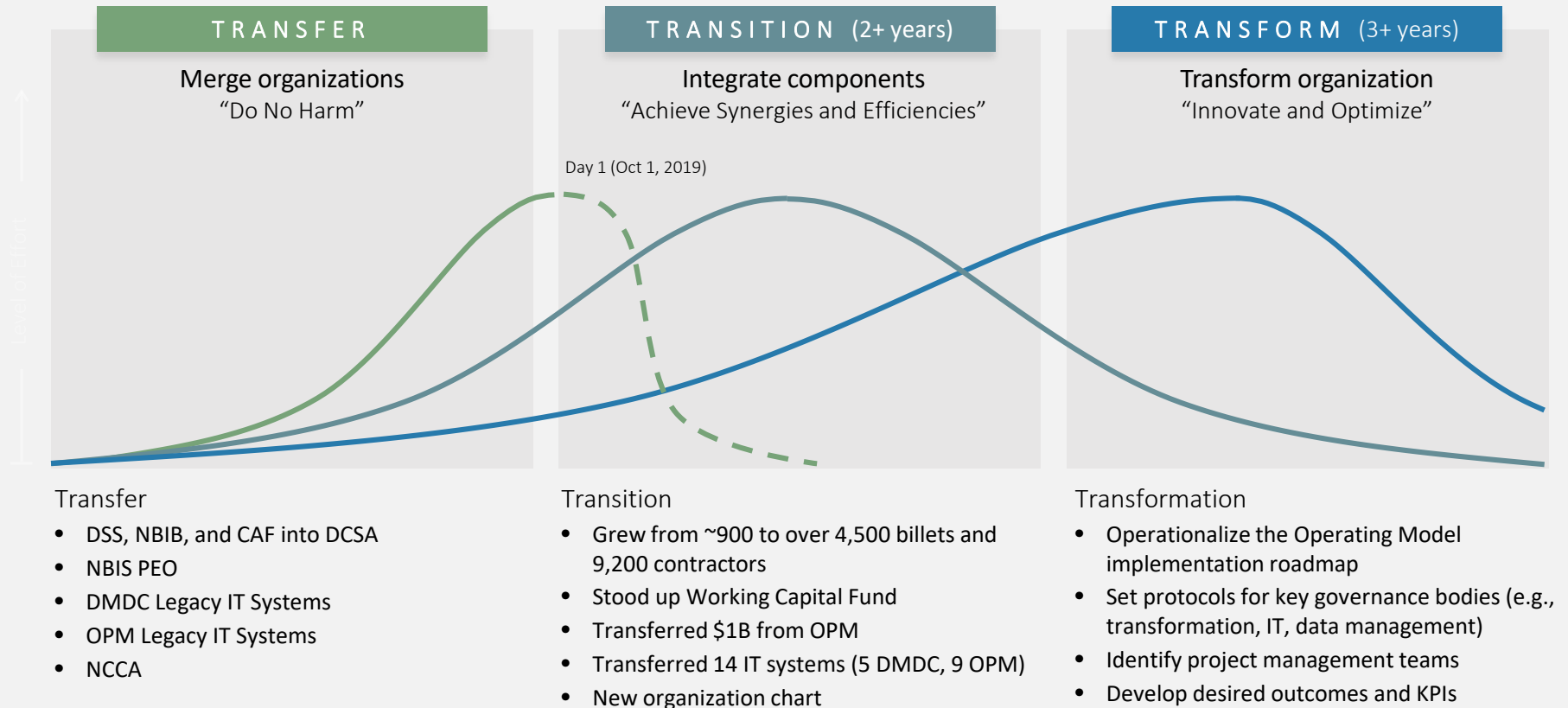
Industry Presence	
Cleared Facilities	~12,000
Companies	~10,000
Accredited Systems	~5,000
Cleared Personnel	~940K
CI Presence	
Regions	4
Field Offices	26
Resident Offices	18
CISAs	87
CTP Presence	
Regions	4
Field Offices	26
Resident Offices	21
Field Investigators	275
BI Presence	
Regions	3
Field Offices	58
Resident Offices	54
Field Investigators	1,587
Contract Support	5,075

Cleared facilities clustered around major cities and military installations



DCSA's Pathway to Transformation

In 2017 Congress ordered the consolidation of personnel vetting services under DoD, launching a multi-year Transfer/Transition/Transformation process to establish DoD as the premier provider of national security and personnel vetting services for the Federal government.





Small Business Strategic Goals and Objectives

Goal 1: Align with DCSA Transition and Transformation strategy

- Introduce automated market research tool
- Publish/maintain updated contract forecast
- Improve business processes
- Grow the DCSA Small Business office

Goal 2: Expand/educate the DCSA Small Business Industrial Base

- Attract qualified businesses
- Assist/educate small businesses in the FCL process
- Establish/maintain predictable outreach and access
- Establish Mentor Protégé Program

Goal 3: Strengthen partnerships across the Small Business community

- Work closely with the SBA to track opportunities and updates
- Leverage enterprise-wide partners, e.g., DLA's Procurement Technical Assistance Program (PTAP)



Top NAICS for FY20

NAICS	Percent of Agency spend per NAICS	Percent of Small Business spend per NAICS
561611 (INVESTIGATION SERVICES)	84% \$876.8M	5.9% \$52.3M
541611 (ADMINISTRATIVE MANAGEMENT AND GENERAL MANAGEMENT CONSULTING SERVICES)	3.74% \$38.7M	72.6% \$28.1M
541512 (COMPUTER SYSTEMS DESIGN SERVICES)	2.7% \$28.1M	74.4% \$20.9M
541219 (OTHER ACCOUNTING SERVICES)	1.9% \$20.1M	100% \$20.1M

DCSA Small Business Goaling



Overall Small Business Actions for FY20

Goal: 7%

Actual: 28%

197 Small Business Actions

\$148.8M

Small Disadvantaged Business (including 8a)

Goal: 5%

Actual: 17.2%

Women Owned Small Business

Goal: 5%

Actual: 3.8%

Service Disabled Veteran Owned Small Business

Goal: 3%

Actual: 4.4%

Certified HUBZone Small Business

Goal: 3%

Actual: 1.4%



Resources

How can you stay in the know with DCSA?

- Send an email to the below address and request to be **added to the DCSA Small Business distro list** for monthly newsletters

dcsa.quantico.dcsa.mbx.small-business@mail.mil

- **Review contracting forecast** posted on the DCSA/Small Business website:

<https://www.dcsa.mil/contact/small-business-program/>

- **Request in person meeting** with the DCSA Small Business Director
- When researching betaSAM, **utilize agency Code “97AV”** for ease of results



Questions?



Director, Office of Small Business Programs

steven.s.mapes2.civ@mail.mil



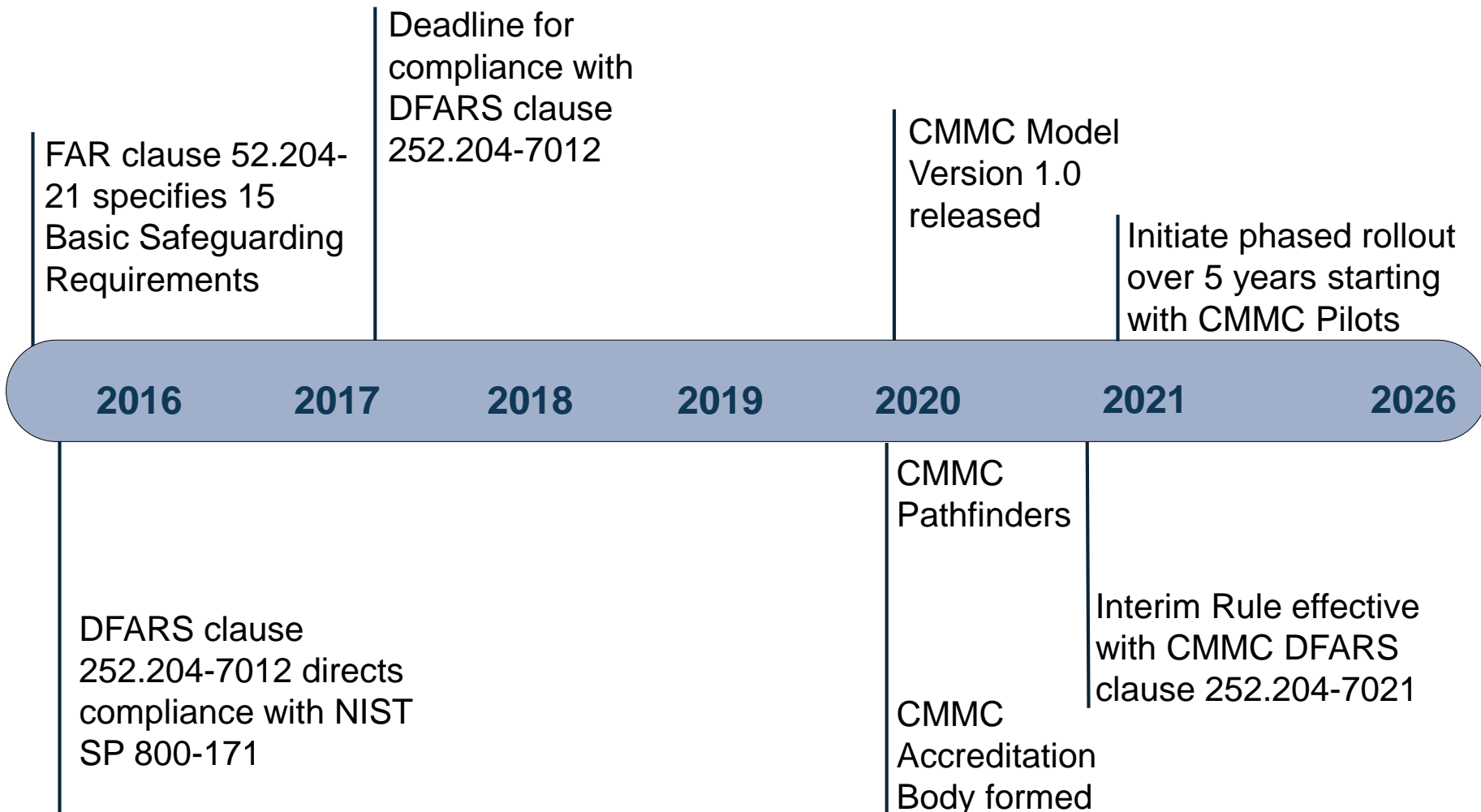
Securing the DoD Supply Chain

Cybersecurity Maturity Model Certification

Ms. Stacy S. Bostjanick
Acting Director of Supply Chain Risk Management



CMMC Regulatory and Implementation Timeline



DFARS Interim Rule for CMMC in effect; DoD initiating CMMC Pilot Kick Off meetings



DFARS Clause 252.204-7012: The Foundation for CMMC



CMMC complements DFARS clause 252.204-7012: Safeguarding Covered Defense Information [Controlled Unclassified Information (CUI)] and Cyber Incident Reporting

DFARS clause 252.204-7012 requires contractors/subcontractors to:

- Safeguard CUI by implementing cybersecurity requirements in NIST SP 800-171
 - Document in a System Security Plans (SSP) how requirements are implemented
 - Maintain a Plan of Action and Milestones (POAM) for unimplemented requirements
 - Obtain approval from Contracting Officers for any variances or “alternate but equally effective controls” implemented to meet the requirements
- Report cyber incidents (to include lost or stolen devices)*
- Isolate and submit malicious software for analysis*
- Facilitate damage assessments
- Flow down the clause to subcontractors if CUI is conveyed (not applicable to COTS)

Contractors and subcontractors self-attest to compliance



DFARS Case 2019-D041

Assessing Contractor Implementation of Cybersecurity Requirements



The *interim rule* took effect 30 Nov 2020 / DoD implementing a 5-year phased roll-out

DFARS Provision 252.204-7019 Notice of NIST SP 800-171 DoD Assessment Requirements

Solicitation Notice: Basic Assessment Score required in SPRS for contract award

- A [NIST SP 800-171 DoD Assessment](#) (Basic, Medium, High) summary level score must be posted into DoD's Suppliers Risk Performance System (SPRS) for the applicable CAGE code and Systems Security Plan
- The summary level score must remain current (not older than 3 years unless a lesser time is specified) throughout the life of the contract, task or delivery order

DFARS Clause 252.204-7020 NIST SP 800-171 DoD Assessment Requirements

Basic Assessment Score required in SPRS to be considered for contract award

- Applicable to companies subject to DFARS clause 252.204-7012
- Post award, if DoD deems a Medium or High assessment is necessary due to program sensitivity, provide DoD access to facilities, systems and personnel
- Include clause in all subcontracts or other contractual instruments including subcontracts for commercial items
- Confirm subcontractor compliance with SPRS reporting if receiving CUI

DFARS Clause 252.204-7021 Cybersecurity Maturity Model Certification Requirements

Cybersecurity Maturity Model Certification Required by contract award effective 1 Oct 2025

- Until 1 Oct 2025, OUSD(A&S) must approve clause in new acquisitions
 - Contractor certification level must be maintained for contract duration
 - Clause must be flowed down; primes must ensure subs are certified at required CMMC level prior to awarding subcontract
- Interim rule clauses are applicable to contracts, task orders and delivery orders
 - Not applicable to micro-purchases or solicitations exclusively for the purchase of COTS products

CMMC assessments and certifications required for the applicable enterprise network or network segment where FCI or CUI will be processed, stored, or transmitted in performance of the contract

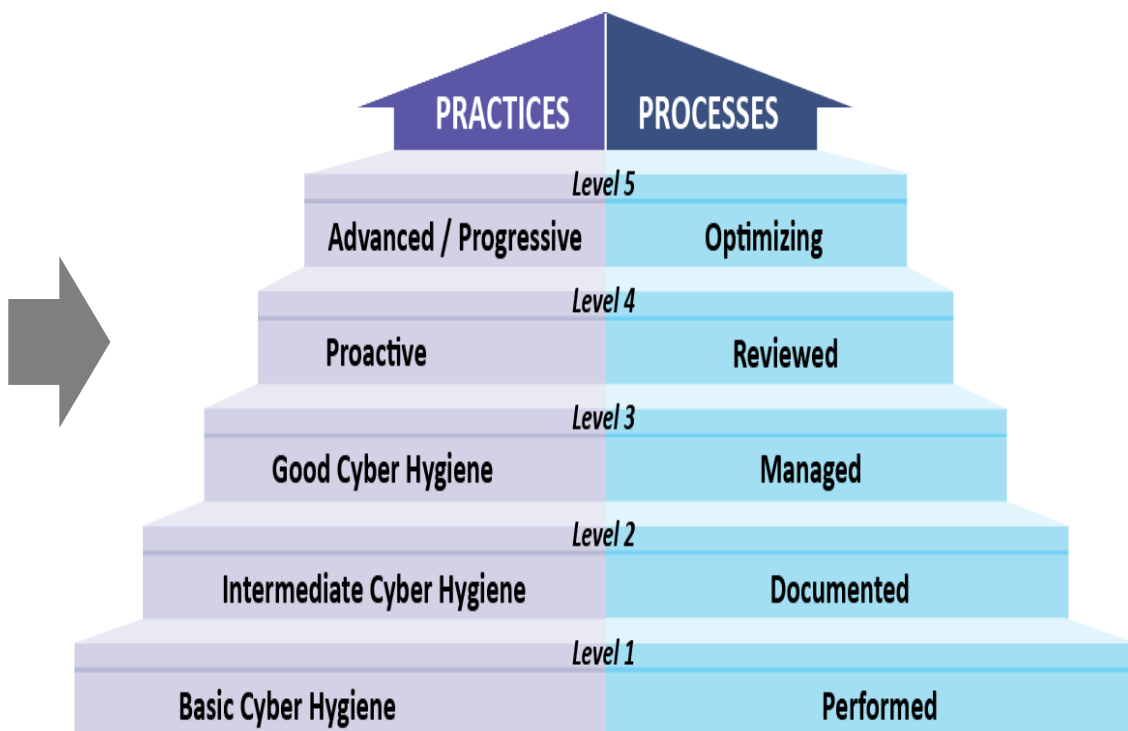
CMMC Model Structure



17 Capability Domains (v1.0)

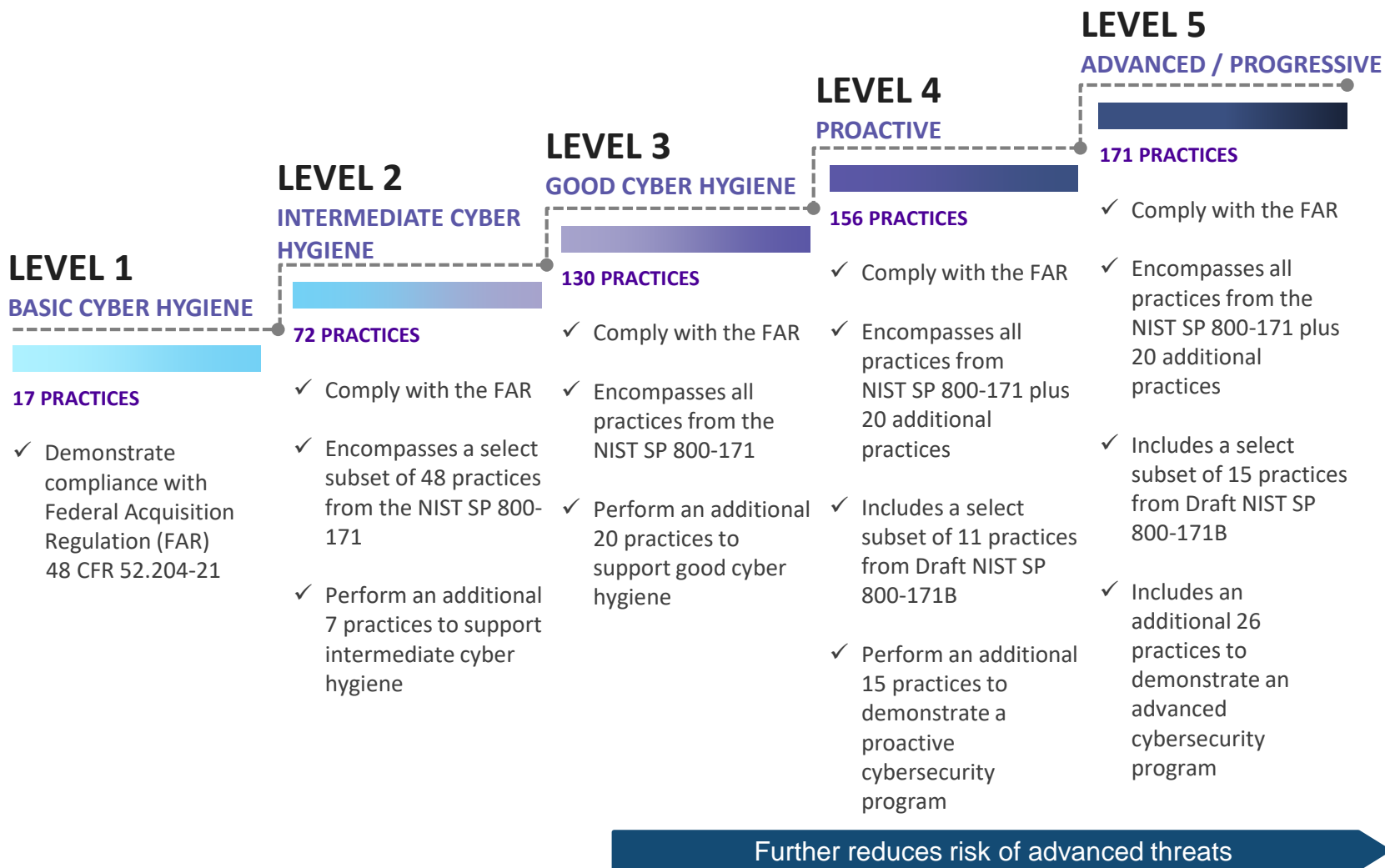
Access Control (AC)	Incident Response (IR)	Risk Management (RM)
Asset Management (AM)	Maintenance (MA)	Security Assessment (SAS)
Awareness and Training (AT)	Media Protection (MP)	Situational Awareness (SA)
Audit and Accountability (AA)	Personnel Security (PS)	System and Communications Protection (SCP)
Configuration Management (CM)	Physical Protection (PP)	System and Information Integrity (SII)
Identification and Authentication (IDA)	Recovery (RE)	

Capabilities are assessed for Practice and Process Maturity





CMMC Practice Progression





CMMC Risk Reduction: Pathfinders

Draft – Pre-decisional



- OUSD(A&S) funded risk reduction activities to inform CMMC implementation

Missile Defense Agency (MDA) Pathfinder (Apr 2020 – present)



Activity: Mock Assessments

Mock Assessors trained by CMMC-AB
Conducted mock assessments:

- CMMC Level 3 'delta' of prime contractor
- CMMC Level 3 and Level 1 of two subcontractors



Objective

Validate drafted CMMC Assessment Guides and gather lessons learned



Outcome

Identified Lessons Learned to improve draft documentation and assessment processes



Activity: Acquisition Tabletop

Conducted a sequence of evolving TTXs that focus on the DoD's acquisition processes from RFI to post contract award.



Objective

Identify and reduce risks associated with implementing CMMC in future acquisitions



Outcome

Developed exemplar RFI, RFP and flow down language to support contract actions

Defense Logistics Agency (DLA) Pathfinder (Sep 2020 – present)

Planned Activity: Mock Assessments



Conduct two mock assessments:

- CMMC Level 3 of two prime contractors
- Assessed by authorized C3PAOs



Objective

Identify and reduce risks associated with newly authorized C3PAOs

Mock Assessments are non-attributorial, non-punitive and do not result in a certification



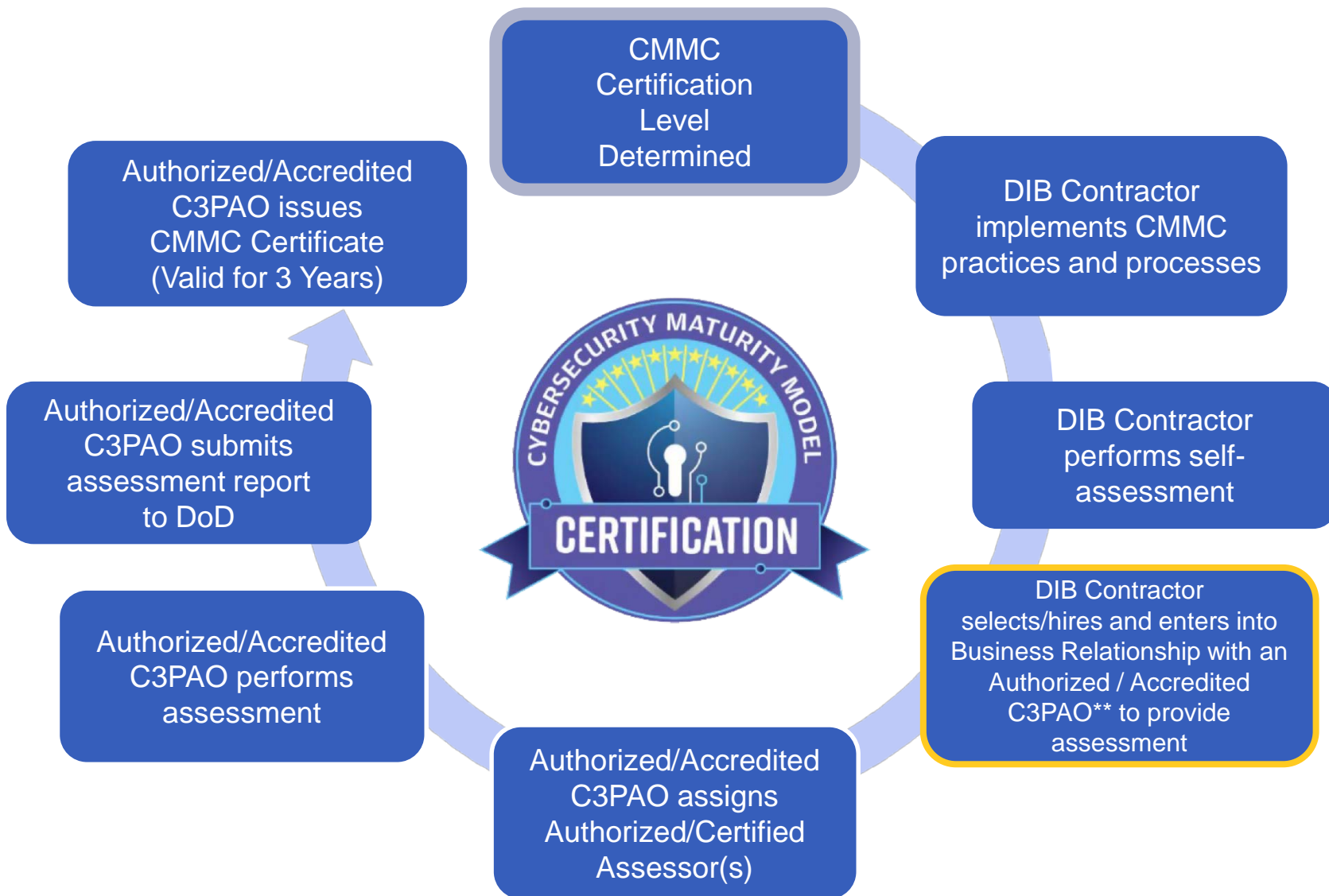
CMMC Implementation: Pilots (2 of 2)

- The following candidate programs have been identified by Services and Agencies:

Service or Agency	Program
Army	Foreign Military Sales (FMS) Field Service Representative Support
	Woman, Infant, & Children (WIC) Overseas Program for DHA-J10-TRICARE
	Main Operating Base-Installation Service Nodes (MOB-ISN)
Navy	Integrated Common Processor
	F/A-18E/F Full Mod of SBAR & Shut off Valve
	DDG-51 Lead Yard Services / Follow Yard Services
Air Force	Mobility Air Force Tactical Data Links
	Consolidated Broadband Global Network Area Network Follow-On
	Azure Cloud Solution
Missile Defense Agency	Technical Advisory and Assistance Contract

- DoD plans to implement CMMC using a phased rollout over five years commencing with a target of up to 15 new acquisitions in FY21:
 - The rollout ramps up over 5 years with CMMC in up to 475 new prime contracts by FY25
 - Until 1 Oct 2025, OUSD(A&S)/OCISO(A&S) CMMC Office must approve the use of the clause for new acquisitions

DIB Contractor / C3PAO Business Relationship Basic CMMC Process



*DIB Contractor is AKA: "OSC – Organization Seeking Certification"

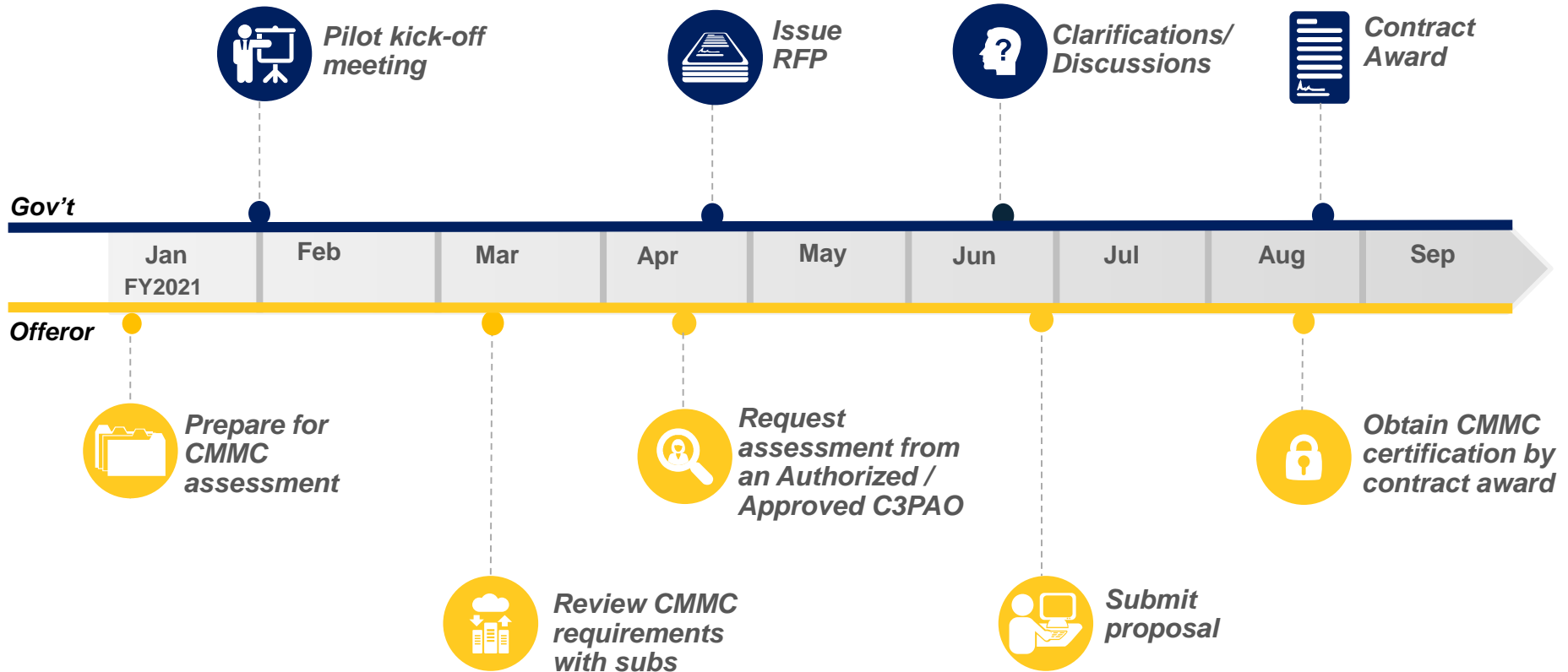
**C3PAO – CMMC Third Party Assessment Organization



Notional CMMC Pilot Timeline

KEY PILOT MILESTONES

Below is a notional CMMC Pilot timeline outlining key milestones for the government and offerors:



Pilot acquisitions will require obtaining CMMC Certification by contract award



Pilot Key Takeaways

Until 1 Oct 2025, CMMC requirements will only be included in new acquisitions with the approval of OUSD(A&S) / OCISO(A&S)

CMMC Pilot programs will include applicable CMMC requirements in RFPs

- OUSD(A&S) is not funding CMMC Pilots
- CMMC certification must be met by contract award
- CMMC certification is required of the enterprise network or particular segment where FCI or CUI is processed, stored, or transmitted in performance of the particular contract
- CMMC certification must be maintained for the duration of the contract; recertification may be necessary depending on expiration date of the CMMC certification versus the contract end date

CMMC Pilot contractors will be required to achieve CMMC Certification

- DIB Contractor enters into Business Relationship with an authorized / approved C3PAO
- CMMC certification is achieved by passing a CMMC assessment conducted by C3PAO
- All CMMC practices and processes must be implemented at the required CMMC Level
- CMMC does not allow POAMs
- If there are assessment findings, the contractor will need to remediate to achieve CMMC certification
- CMMC Certification is good for three years

OUSD(A&S) will provide guidance and support during Pilot roll-outs



Projected CMMC Roll-Out

- OUSD(A&S) will work with Services and Agencies to identify candidate programs that will have the CMMC requirement during FY21-FY25 phased roll-out

Total Number of Contracts with CMMC Requirement				
FY21	FY22	FY23	FY24	FY25
15	75	250	479	479

Total Number of Prime Contractors and Sub-Contractors with CMMC Requirement					
	FY21	FY22	FY23	FY24	FY25
Level 1	895	4,490	14,981	28,714	28,709
Level 2	149	748	2,497	4,786	4,785
Level 3	448	2,245	7,490	14,357	14,355
Level 4	4	8	16	24	28
Level 5	4	8	16	24	28
Total	1,500	7,500	25,000	47,905	47,905

- All new DoD contracts will contain the CMMC requirement starting in FY26



<https://www.acq.osd.mil/cmmc/index.html>

Facility Clearances in the NISP

DEFENSE COUNTERINTELLIGENCE AND SECURITY AGENCY

Adriane Johns,
Chief,
DCSA Facility Clearance Branch





Agenda

- Basic Principles Surrounding Facility Clearances
- Overview of the Facility Clearance Process
- Required Roles and Responsibilities
- Top 5 Reasons for Rejection
- FREE DCSA Resources and Training



Some Important Facts

- National Industrial Security Program (NISP) is made up of 12,000 facilities
 - 65% of those facilities are small businesses!
- The Nation is facing a foreign intelligence threat that is unprecedented in our history. The increased threat is a result of advances in technology (primarily cyber), science, and the globalization of business and the American workforce.
- The role of non-traditional collectors has increased significantly, and these factors have combined to cause the Nation to lose significant amounts of technology and information, most of which is unclassified.
- A facility clearance is not a simple administrative process, it is building a robust security program and posture to ensure the nation's competitive edge is preserved!



What is a Facility Clearance?

- **Authorities:**

1. Executive Order 13526, Classified National Security Information.
2. 32 CFR 2004, National Industrial Security Program (NISP) Directive.
3. DoD 5220.22M, National Industrial Security Program Operating Manual (NISPOM).

- **Definition:**

A Facility Clearance (FCL) or Entity Eligibility Determination (EED) is an administrative determination that a company is eligible for access to classified information

It is a clearance for entities or companies, similar to a personnel security clearance for individuals

The FCL Process requires Key Management Personnel (KMP) to get Personnel Clearances (PCL)

The Facility Clearance Basics



How can you get a FCL?

- A contractor or prospective contractor cannot apply for its own FCL
- Contractors must be sponsored by Government Contracting Agency (GCA) or cleared contractor AND have legitimate need to have access to classified materials.
 - Sponsorship can begin as soon as you have determined the awardee(s).

What are company requirements?

- Must need access in connection with a legitimate requirement (**prime or subcontract**)
- Must be organized in the US
- Must have a reputation for integrity and lawful business dealings
- Must not be under Foreign Ownership or Controlling Interest (FOCI)

Does a FCL mean you have to have cleared office space?

- A facility clearance is not issued to a location, but is granted to a company or entity. If through the security requirements of the contract, there is a need to safeguard classified information at the company site, a separate approval is granted after issuance of the facility clearance. This is done by the Industrial Security Representative assigned to the facility.

How much does an FCL cost?

- The government funds the processing of PCLs and FCLs for access to classified information. The only cost to you to go through the process is to ensure the business is in compliance with the National Industrial Security Program Operating Manual (NISPOM).

Does a FCL expire?

- A FCL remains active until the company no longer has a need to access classified information

What are the KMP Requirements?



Key Management Personnel General Information



Senior Management Official (SMO) is required to be cleared in connection with the Facility Clearance in accordance with NISPOM 2-104. The SMO is the person who is the senior management authority of the organization per the organization's business documents.

Insider Threat Program Senior Official (ITPSO) is the senior official responsible for establishing and executing the organization's insider threat program.

Facility Security Officer (FSO) designated by the organization and responsible for supervising and directing security measures necessary for implementing requirements of the National Industrial Security Operating Manual (NISPOM).

Note: All roles can be fulfilled by one or more people. They can be the people performing the roles under the separate entities currently.

Facility Clearance Sponsorship Timing



Pre-Award Sponsorship

Must have need to access classified during the bid process.

Must include:

- Solicitation number
- Solicitation release date
- Solicitation close date
- Level of classification
- Copy of pre-award DD254

Post-Award Sponsorship

Must have need to access classified during performance of the contract.

Must include:

- DD254
- SOW/PWS
- Written GCA Authorization



FCL Process

Submit Sponsorship Package Accepted

•Clock Starts!

Telephonic Survey

Day 5-10

•FCB personnel to guide FSOs through FCL process, NISS system, explain Deadlines, and help identify documents and forms required per company's business structure

FCL Initial Review

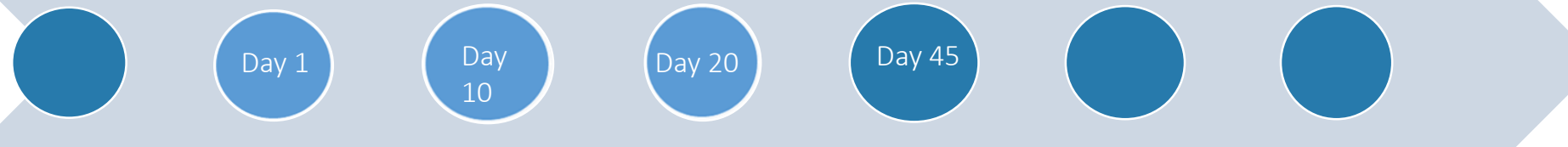
Day 20 - 45

- ISRs review company's FCL package and prepare for Initial FCL Orientation meeting
- FSOs to submit KMP e-QIPs and fingerprints and prepare for meeting

PCL Processing (TS avg. 80 days Secret avg. 56 days)

First Year under NISP:

- DCSA reaches out to facilities residing in NISP under a year to determine compliance with NISPOM implementation of a facility security program, and assess the facility's potential risk to National Security.



FCL Orientation Handbook

Day 1- Day 5

- DSS to provide FSOs an educational, user friendly, and informative guide to navigate the FCL process.

Complete Document Upload

Day 10 – Day 20

- FSOs to upload all documents and forms per its company's Business structure into NISS




Companies with FOCl require mitigation process (Can take appx. 1 year)

Facility Clearance Issued

Current FCL Timelines average 180 days

Business Structure and Required Documents



 University/ College	 Sole Proprietorship	 Joint Ventures
<p><u>Required Documents</u></p> <p>Charter/Bylaws Board/ University Meeting Minutes Legal Organization Chart</p>	<p><u>Required Documents</u></p> <p>Business License Fictitious Name Certificate Certificate of Sole Proprietorship</p> <p>Note: An FCL is not required when the sole owner requires access to classified.</p>	<p><u>Required Documents</u></p> <p>Business Type is required JV Agreement Meeting Minutes specific to JV</p>

Top 5 reasons for rejection



Missing Government Contracting Activity Authorization

Incorrect or incomplete information on DD254

Lack of Justification/ No access to classified required

Solicitation with no access to classified information

Incorrect sponsorship request/ conflicting information on sponsorship and DD254

Resources



- ✓ Visit www.dcsa.mil
 - ✓ FCL Process Orientation Video
 - ✓ Facility Clearance Checklist
 - ✓ FCL Orientation Handbook
 - ✓ NISPOM

- ✓ Contact the DCSA Knowledge Center 888-282-7682 (option 3) with any FCL related questions

- ✓ Visit DCSA Center for Development of Security Excellence (CDSE) at www.CDSE.edu for FREE training and resources
 - ✓ Facility Security Officer toolkit
 - ✓ Training videos

Questions?



Facility Clearance Related Questions:

DSS.FCB@mail.mil

Thank you!



DSCA Acquisition & Contracting (AQ) Overview

DEFENSE COUNTERINTELLIGENCE AND SECURITY AGENCY





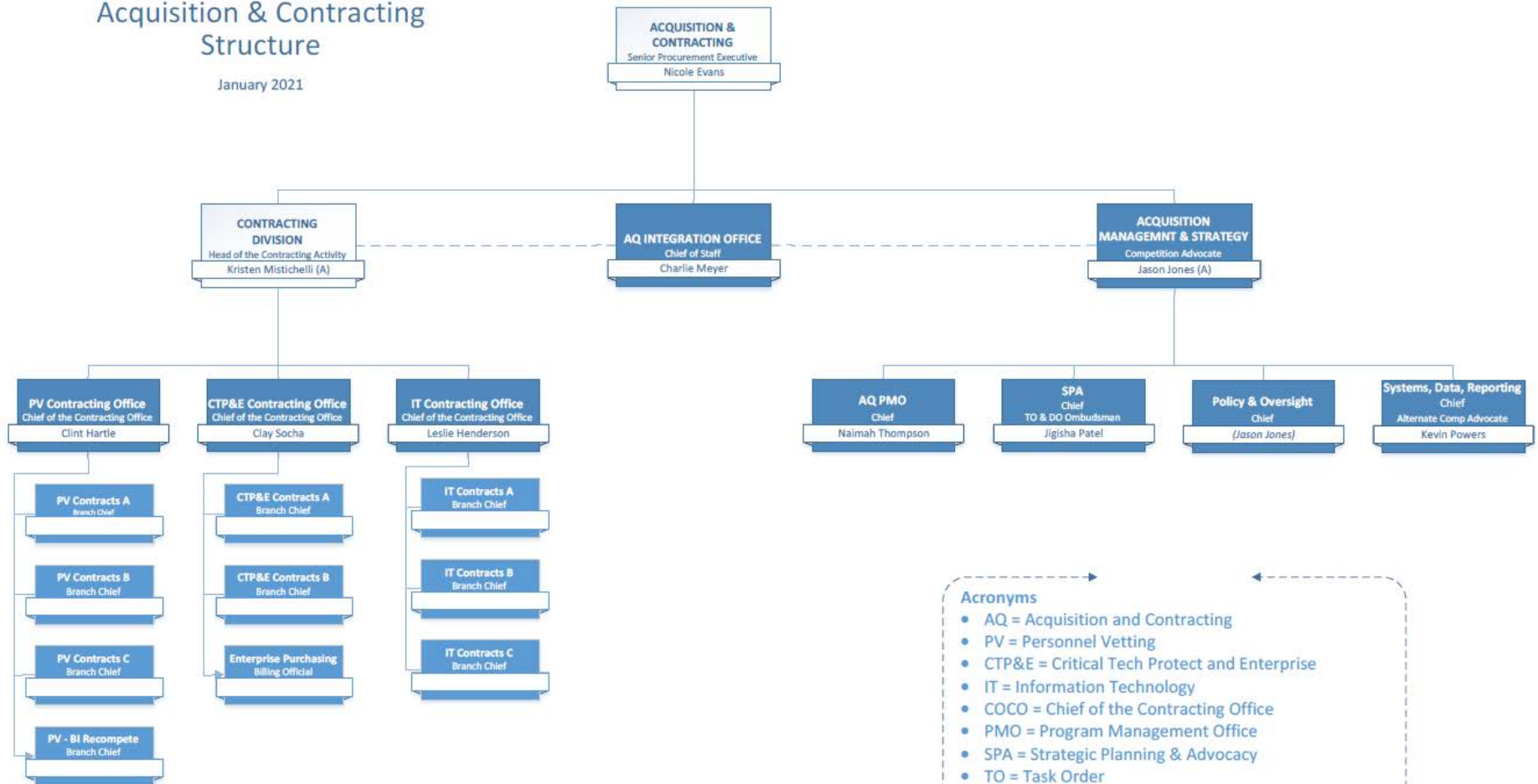
- AQ Structure
- AQ Mission, Vision, and Values
- AQ Guiding Principles
- Acquisition and Contracting – Operations
- Competition Advocate
- Task Order Ombudsman
- Industry Liaison

Acquisition and Contracting Structure



Acquisition & Contracting Structure

January 2021



Acronyms

- AQ = Acquisition and Contracting
- PV = Personnel Vetting
- CTP&E = Critical Tech Protect and Enterprise
- IT = Information Technology
- COCO = Chief of the Contracting Office
- PMO = Program Management Office
- SPA = Strategic Planning & Advocacy
- TO = Task Order
- DO = Delivery Order

Key

- (A) = Acting

AQ Mission, Vision, and Values



What we do

AQ Mission

AQ partners across organizational lines to deliver innovative acquisition and contracting solutions that enable DCSA mission success.

What we are becoming

AQ Vision

- We strive to be the premier acquisition and contracting organization in the DoD 4th Estate.
- We focus on people first – recruiting, developing, and empowering an engaged world-class team that delivers innovative acquisition and contracting solutions to enable DCSA's mission.
- We work together to achieve AQ-wide goals, deliver with excellence, and measure success.

Who we are

Values

We are expert business advisors; committed to mission, passionate about service, unwavering in integrity, driven to innovate, and invested in people.

We are DCSA AQ.



AQ Guiding Principles

In AQ, we...

- EMPOWER a world-class workforce that embodies the DCSA guiding principles to advance the DCSA mission.
- COLLABORATE with industry, stakeholders, and colleagues.
- INNOVATE with curiosity and creativity.
- CONDUCT BUSINESS with integrity, fairness, and openness.
- DELIVER best value products and services to the customer and minimize administrative operating costs while maintaining the public trust and fulfilling public policy objectives.
- SATISFY the customer in terms of cost, quality, and timeliness by promoting competition, building agile solutions, using trusted contractors, maximizing use of commercial products and services, and considering security in every acquisition.



Capability Statements

- For requirements that you are really interested in, tailor your capability statement
- Overview of Capability statement review process – help us help you!
- Read directions carefully - and follow them.
 - This helps us identify you as a potential source by answering the call for information specific to the requirement



Before a requirement is officially posted.... Get to know DCSA!

- OMB Mythbusters – open communication between DCSA and our industry partners
- Read our appropriations to understand our priorities
- Contact program officials
- Attend conferences- to baseline language, meet industry partners and government participants

- **Small Business PM – Mr. Steve Mapes - your agency wide POC**
 - He will ensure you have the right POC.
 - Sometimes outside email goes to “junk” or “spam” based on DOD rules – contact SBPM for help
 - people change jobs, etc - he can follow up and ensure proper POC
 - SBPM is your advocate!



HCA Final Thoughts

Forecast is helpful.....But.... Unplanned needs arise...

- Look in SAM for unplanned requirements
- These will not be on the forecast
- 4th quarter frequency

No job is too small

- Getting a small job also provides the opportunity to be recognized as a productive contract partner.
- Get your name known
- Partner with industry – meet our current prime contractors –see SAM award announcements to identify new subcontract opportunities

Good Luck and we look forward to working with you as current and future industry partners!



Competition Advocate

The primary purpose of the DCSA Competition Advocate is to promote the acquisition of commercial items through full and open competition. This is achieved by:

- Engaging early in the acquisition process
- Challenging requirements that are not stated in terms of functions to be performed, performance required or essential characteristics
- Challenge barriers to competition (i.e. restrictive SOW/PWS, unnecessarily detailed specifications and unnecessarily burdensome contract clauses)

Unsolicited Proposals (what they are and are not)

- Unsolicited proposals are:
 - Unique non-commercial supplies / services that are not currently under development or solicitation within the Government
- Unsolicited proposals are not:
 - Commercial items
 - Proposal for supplies or services currently being performed or that have been solicited

If you have a unique or innovative idea or approach, Federal Acquisition Regulation 15.603 provides the requirements, instructions, and format that you will need to follow to submit your Unsolicited Proposal.



- Ombudsman
 - Voice for industry partners, as applicable
 - Review complaints & concerns for task/delivery order procurements
 - Ensure fair & efficient procurement process
- Industry Liaison
 - Strengthening Partnership with Office of Small Business Programs
 - Forecast
 - Strengthening partnership with Industry
 - Implementing new initiatives
 - Category Management
 - Innovation Council